

(19)



Europäisches Patentamt
European Patent Office
Office européen des brevets

(11)

EP 0 817 044 A2



(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication:
07.01.1998 Bulletin 1998/02

(51) Int Cl. 6: G06F 9/46

(21) Application number: 97304653.5

(22) Date of filing: 27.06.1997

(84) Designated Contracting States:
AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC

NL PT SE

Designated Extension States:

AL LT LV SI

(30) Priority: 28.06.1996 US 673382

(72) Inventor: Nakhimovsky, Gregory
Wakefield, Massachusetts 01880 (US)

(71) Applicant: SUN MICROSYSTEMS, INC.
Mountain View, CA 94043 (US)

(74) Representative: Abnett, Richard Charles
REDDIE & GROSE
16 Theobalds Road
London WC1X 8PL (GB)

(54) Memory allocation in a multithreaded environment

(57) A method of allocating memory (16) in a multithreaded (parallel) computing environment in which threads (30-33) running in parallel within a process are associated with one of a number of memory pools (24, 38-40) of a system memory. The method includes the steps of establishing memory pools in the system mem-

ory, mapping each thread to one of the memory pools; and, for each thread, dynamically allocating user memory blocks from the associated memory pool. The method allows any existing memory management malloc (memory allocation) package to be converted to a multithreaded version so that multithreaded processes are run with greater efficiency.

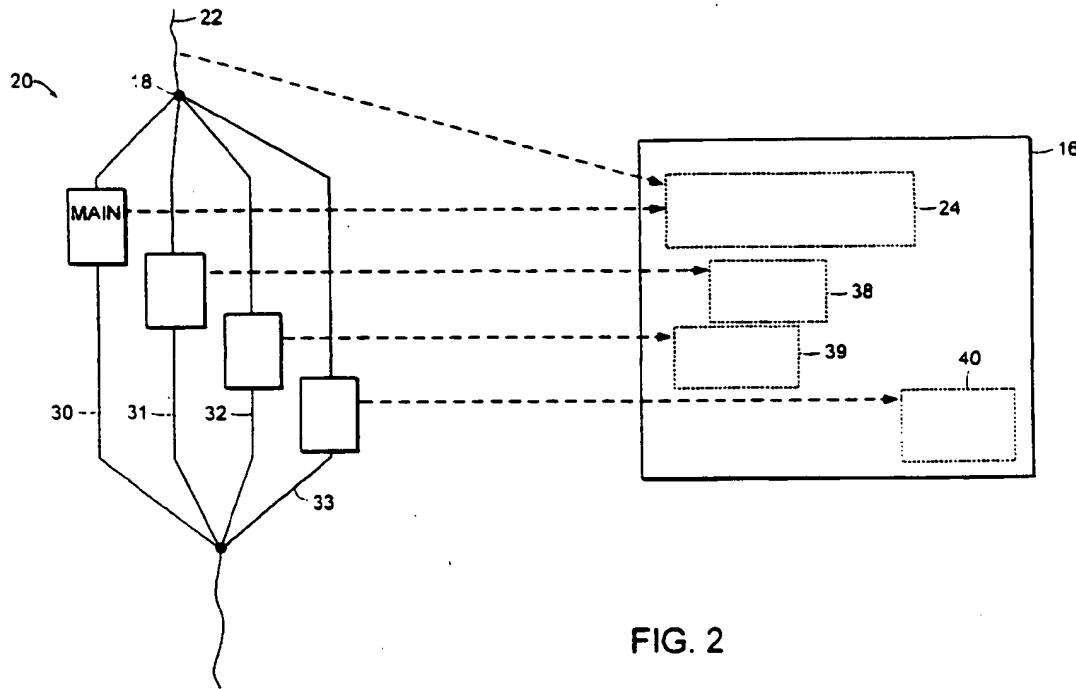


FIG. 2

EP 0 817 044 A2

Description**Background of the Invention**

5 The invention relates to memory allocation and more particularly to memory allocation in a multithreaded (parallel) environment.

In allocating memory for a computer program, most older languages (e.g., FORTRAN, COBOL) require that the size of an array or data item be declared before the program is compiled. Moreover, the size of the array or data item could not be exceeded unless the program was changed and re-compiled. Today, however, most modern programming 10 languages, including C and C++, allow the user to request memory blocks from the system memory at run-time and release the blocks back to the system memory when the program no longer needs the blocks. For example, in these modern languages, data elements often have a data structure with a field containing a pointer to a next data element. A number of data elements may be allocated, at run-time, in a linked list or an array structure.

15 The C programming language provides memory management capability with a set of library functions known as "memory allocation" routines. The most basic memory allocation function is called *malloc* which allocates a requested number of bytes and returns a pointer that is the starting address of the memory allocated. Another function known as *free* returns the memory previously allocated by *malloc* so that it can be allocated again for use by other routines.

20 In applications in which memory allocation occurs in parallel, for example, in a multithreaded process, the *malloc* and *free* functions must be "code-locked". Code-locking means that the library code of the process containing the thread is protected with a global lock. This prevents data corruption in the event that one thread is modifying a global structure when another thread is trying to read it. Code-locking allows only one thread to call any of the *malloc* functions (e.g., *malloc*, *free*, *realloc*) at any given time with other threads waiting until the thread is finished with its memory allocation. Thus, in a multithreaded process in which memory allocation functions are used extensively, the speed of the system is seriously compromised.

25

Summary of the Invention

In general, in one aspect, the invention is a method of allocating memory in a multithreaded computing environment in which threads running in parallel within a process each have an associated memory pool in a system memory. The 30 method includes the steps of establishing memory pools in the system memory, mapping each thread to one of the memory pools; and, for each thread, dynamically allocating user memory blocks from the associated memory pool. Each thread uses memory allocation routines (e.g., *malloc*) to manipulate its own memory pool, thereby providing greater efficiency of memory management.

35 The invention converts an existing memory management *malloc* package to a multithreaded version so that multithreaded processes are run with greater efficiency. Moreover, the invention is applicable to any application requiring memory management in parallel; in particular, those applications requiring significant parallel memory management. Furthermore, use of the invention is transparent from the application programmer's standpoint, since the user interface is the same as that of the standard C library memory management functions (i.e., *malloc*, *free*, *realloc*).

40 In a preferred embodiment, the method may further include the step of preventing simultaneous access to a memory pool by different threads. Having separate memory pools allows separate code-locking (e.g., mutex locking) to prevent simultaneous access to the memory pools by the different threads, thereby eliminating the possibility of data corruption. In existing standard memory allocation routines suitable for parallel execution, there is only a single code lock. Thus, only one thread can make a memory allocation routine call at any given time. All other threads running in the process must wait until the thread finishes with its memory allocation operation. In the invention, on the other hand, so long as 45 each thread is manipulating its own memory, memory allocation operations can be performed in parallel without any delay. The separate code-locking feature only becomes important when a thread attempts to access the memory pool of another thread. Such memory allocations of a memory pool not associated with that thread are fairly uncommon. Thus, the invention provides an improvement in the performance of the multithreaded process by significantly reducing time delays associated with memory allocation routine calls.

50 Preferred embodiments may include one or more of the following features. The step of dynamically allocating memory blocks includes designating the number of bytes in the block desired to be allocated. For example, calling the *malloc* function will allocate any number of required bytes up to a maximum size of the memory pool. The step of establishing a memory pool for each thread may further include allocating a memory buffer of a preselected size (e.g., 64 Kbytes). In the event that the size of the memory pool has been exhausted, the size of the memory pool may be dynamically increased by allocating additional memory from the system memory in increments equal to the preselected size of the buffer memory. Moreover, the method may further include allowing one of the threads to transfer memory from the memory pool of another of the threads to its memory pool.

Each memory pool may be maintained as a data structure of memory blocks, for example, an array of static var-



(19)

Europäisches Patentamt
European Patent Office
Office européen des brevets



(11)

EP 0 817 044 A3

(12)

EUROPEAN PATENT APPLICATION

(88) Date of publication A3:
15.04.1998 Bulletin 1998/16

(51) Int Cl. 6: G06F 9/46

(43) Date of publication A2:
07.01.1998 Bulletin 1998/02

(21) Application number: 97304653.5

(22) Date of filing: 27.06.1997

(84) Designated Contracting States:
AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC
NL PT SE

Designated Extension States:
AL LT LV SI

(30) Priority: 28.06.1996 US 673382

(72) Inventor: Nakhimovsky, Gregory
Wakefield, Massachusetts 01880 (US)

(74) Representative: Abnett, Richard Charles
REDDIE & GROSE
16 Theobalds Road
London WC1X 8PL (GB)

(71) Applicant: SUN MICROSYSTEMS, INC.
Mountain View, CA 94043 (US)

(54) Memory allocation in a multithreaded environment

(57) A method of allocating memory (16) in a multi-threaded (parallel) computing environment in which threads (30-33) running in parallel within a process are associated with one of a number of memory pools (24, 38-40) of a system memory. The method includes the steps of establishing memory pools in the system mem-

ory, mapping each thread to one of the memory pools; and, for each thread, dynamically allocating user memory blocks from the associated memory pool. The method allows any existing memory management malloc (memory allocation) package to be converted to a multithreaded version so that multithreaded processes are run with greater efficiency.

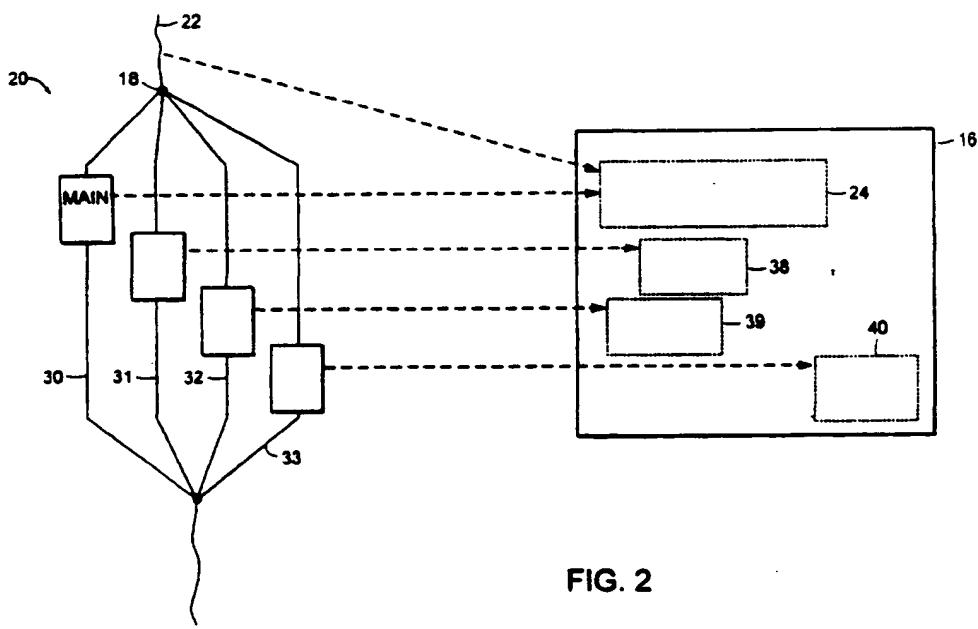


FIG. 2

EP 0 817 044 A3



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number

EP 97 30 4653

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
A	EP 0 633 531 A (NIIPPON ELECTRIC CO) * the whole document *	1,21	G06F9/46
A	"METHOD OF MANAGING MAIN STORAGE AREAS THAT ARE SHARED HEIRARCHICALLY BY THREADS" IBM TECHNICAL DISCLOSURE BULLETIN, vol. 37, no. 1, 1 January 1994, page 231 XP000428757 * the whole document *	1,21	
A	EP 0 273 612 A (AMERICAN TELEPHONE & TELEGRAPH) * the whole document *	1,21	
The present search report has been drawn up for all claims			
Place of search	Date of completion of the search	Examiner	
THE HAGUE	23 February 1998	Michel, T	
CATEGORY OF CITED DOCUMENTS			
X	particularly relevant if taken alone	T: theory or principle underlying the invention	
Y	particularly relevant if combined with another document of the same category	E: earlier patent document, but published on, or after the filing date	
A	technological background	D: document cited in the application	
O	non-written disclosure	L: document cited for other reasons	
P	intermediate document	S: member of the same patent family, corresponding document	

iables identified by a thread index associated with one of the memory pools. The data structure includes a header which includes the size of the memory block and the memory pool index to which it is associated. The size of the block and the memory pool index may both be, for example, four bytes.

5 The method may further include the step of allowing each thread to deallocate or free a memory block to the memory pool. The application may require that the memory block be freed from the thread which originally allocated the memory block. Other applications may allow the memory block to be freed from a thread which did not originally allocate the block.

Coalescing or merging deallocated (or freed) memory blocks may be performed to unite smaller fragmented blocks. However, the method prevents coalescing of memory blocks from different pools.

10 In the event that the size of a memory block needs to be enlarged in order to store more data elements, the size of an allocated block of memory allocated by a memory pool may be changed using a *realloc* routine. The method requires that *realloc* preserves the original memory pool.

15 In general, in another aspect, the invention is a computer-readable medium storing a computer program for allocating memory in a multithreaded computing environment in which threads run in parallel within a process, each thread having access to a system memory. The stored program includes computer-readable instructions: (1) which establish a plurality of memory pools in the system memory; (2) which map each thread to one of said plurality of memory pools; and (3) which, for each thread, dynamically allocate user memory blocks from the associated memory pool. A computer-readable medium includes any of a wide variety of memory media such as RAM or ROM memory, as well as, external computer-readable media, for example, a computer disk or CD ROM. A computer program may also be downloaded 20 into a computer's temporary active storage (e.g., RAM, output buffers) over a network. For example, the above-described computer program may be downloaded from a Web site over the Internet into a computer's memory. Thus, the computer-readable medium of the invention is intended to include the computer's memory which stores the above-described computer program that is downloaded from a network.

25 In another aspect of the invention, a system includes memory, a portion of which stores the computer program described above, a processor for executing the computer-readable instructions of the stored computer program and a bus connecting the memory and processor.

Other advantages and features will become apparent from the following description of the preferred embodiment and from the claim.

30 **Brief Description of the Drawings**

Fig. 1 is a block diagram of a multi-processing computer system which is suitable for use with the invention.

Fig. 2 illustrates the relationship between a multithreaded application and a shared memory.

Fig. 3 diagrammatically illustrates a data object in memory.

35 Fig. 4 illustrates the relationship between a multithreaded application and a shared memory in which more threads than memory pools exist.

Fig. 5 is an example of an application which calls memory management functions from threads running within a process.

40 **Description of the Preferred Embodiments**

Referring to Fig. 1, a simplistic representation of a multi-processing network 10 includes individual processors 12a-12n of comparable capabilities interconnected to a system memory 14 through a system bus 16. All of the processors share access to the system memory as well as other I/O channels and peripheral devices (not shown). Each processor is used to execute one or more processes, for example, an application.

45 Referring to Fig. 2, an application 20 which may be running on one or more of the processors 12a-12n (Fig. 1) is shown. Application 20 includes, here, a single thread 22 which has access to a section 24 of allocated memory within the system memory 14. This memory section is referred to as a memory pool. The application also includes a multi-threaded portion shown here having four threads 30-33. Although four threads are shown, the number of threads running at any given time can change since new threads may be repeatedly created and old threads destroyed during the execution of the application. Each of threads 30-33, for example, may run on a corresponding one of processors 12a-12n. In other applications, all or multiple threads can run on a single processor. Thread 30 is considered to be the main thread which continues to use the memory section 24 allocated by the application as a single thread. However, additional threads 31-33 allocate their own memory pools 38-40 from the system memory 14. Thus, each thread is 50 associated with a memory pool for use in executing its operations. During the execution of the application running on the threads, each thread may be repeatedly allocating, freeing and reallocating memory blocks from its associated memory pool using memory allocation functions (i.e., *malloc*, *free*, *realloc*) which are described in greater detail below. Moreover, while one thread is generally designated as the main thread, some of the remaining threads may be design-

nated for particular purposes.

Establishing Memory Pools

5 The number of memory pools (NUM_POOLS) is fixed. Although the malloc package programmer can change the number of pools, the package must be rebuilt after doing so.

10 Establishing a memory pool for each thread includes allocating a memory buffer of a preselected size (e.g., 64 Kbytes). In the event that the size of the memory pool has been exhausted, the size of the memory pool may be dynamically increased by allocating additional memory from the system memory. The additional memory may be allocated, for example, using a Unix system routine called *sbrk()* which, in this implementation, is called internally from within *malloc* and allocates the additional memory in increments equal to the preselected size of the buffer memory. Allocating additional memory requires the pool to be locked which prevents other memory functions to be performed at the same time. Thus, the size of the memory buffer is selected to be large relative to the average amount of memory requested by *malloc()* so that calls for increasing the size of the pool are infrequent.

15 Each memory pool may be set up as a binary tree data structure with individual blocks of memory comprising the pool. The binary tree is ordered by size, although it may be ordered by address. Other data structures (e.g., linked lists) may alternatively be used; however, a binary tree structure may be preferred because of the increased speed it offers in searching. Moreover, a balancing or self-adjusting algorithm may be used to further improve the efficiency of the search.

20 Referring to Fig. 3, each block of memory 40 is identified by a data object 40 having a header 42 with a length consistent with the alignment requirements of the particular hardware architecture being used. For example, certain hardware configurations used by Sun Microsystems Inc., Mountain View, CA require the header to be eight bytes in length to provide an alignment boundary consistent with a SPARC architecture. The first four bytes of the header indicate the size of the block, with the remaining four bytes indicating a pool number.

25 Memory Management Functions

30 Each thread 20-23 allocates memory for its memory pool using a set of memory allocation routines similar to those from a standard C library. The basic function for allocating memory is called *malloc* and has the following syntax:

void * malloc (size)

35 where size indicates the number of bytes requested.

Another memory allocation routine is *free* which releases an allocated storage block to the pool of free memory and has the following syntax:

40 void * free (old)

where old is the pointer to the block of memory being released.

Still another memory allocation routine is *realloc* which adjusts the size of the block of memory allocated by *malloc*. *Realloc* has the following syntax:

45 void * realloc (old, size)

50 where:

old is the pointer to the block of memory whose size is being altered; and size is the new size of the block.

Converting an Existing Malloc Package to a Multithreaded Malloc Package

55 In order to convert an existing memory management package which uses a single lock to a parallel memory management package, all static variables used in the above described memory management functions are converted into static arrays. For example, the binary tree structures associated with the memory pools are stored as a static array. Each element of the static array is identified by its thread index and is associated with a given memory pool. There is

a separate static array element within each array for each pool. Thus, searching through the particular data structure (e.g., binary tree) for each thread can be performed in parallel.

Each thread, therefore, can repeatedly execute any of the above routines to manage memory allocation of their associated memory pools. For example, referring again to Fig. 2, main thread 30 may execute a procedure in which 5 memory blocks within memory pool 24 may be allocated, freed, and allocated again numerous times. Simultaneously, threads 31-33 may be executing procedures in which memory is being allocated and freed from and to their respective memory pools 38-40.

Mapping Threads to Memory Pools

10 Whenever a memory allocation function is called, a thread-identifying routine within each one of these functions is used to identify the thread making the memory allocation request. The thread-identifying function returns the thread index of the thread making the request. For example, the Solaris Operating System (OS), a product of Sun Microsystems Inc., uses in one implementation a function called *thr_self()*.

15 Another algorithm is then used to map each thread index to a memory pool number. For example, the described embodiment uses the following macro known as GET_THREAD_INDEX which receives the thread index and returns an associated pool number:

```
20 # define GET_THREAD_INDEX(self) \
((self) == 1 ? 0 : 1 + ((self)-4 % (NUM_POOLS-1))
```

where:

25 self is the thread index; and
NUM_POOLS is the number of memory pools.

30 As mentioned above, one thread is generally designated as the main thread with remaining threads designated for other purposes. For example, the SOLARIS OS uses a thread numbering system which reserves the first thread as a main thread, the second and third threads as system threads and subsequent threads as user threads. With the above macro, the memory pools are numbered 0 to NUM_POOLS-1. The first portion of the above macro (self == 1 ? 0) ensures that the main thread is always associated with the first pool number. Thus, if self is equal to 1 (i.e., it is the main thread), then the pool number is 0. Otherwise, as shown in the remaining portion of the macro after the "?", the 35 remainder of the ratio of the thread index minus the constant four to the NUM_POOLS-1 is then added to the number 1 to arrive at the pool number. For example, if there are only four memory pools (i.e., NUM_POOLS = 4) and the thread index is 4, the associated pool number returned by the macro is 1. Thread indices of 5 and 6 would have associated memory pools numbered 2 and 3, respectively.

35 In applications in which the number of threads existing at any given time exceeds the number of established pools, 40 the additional threads share memory pools with another thread associated with that pool. Referring to Fig. 4, for example, an application is shown in which a new fifth thread 34 has been created. Because only four memory pools were established, the above mentioned macro is used to map thread 34 to first memory pool 24 originally associated with only thread 30. In this situation, the mutex lock associated with memory pool 24 prevents access by either thread 24 or 34, if the other is using the pool. In the example of the preceding paragraph, macro GET_THREAD_INDEX would 45 map threads having thread indices of 4 and 7 to memory pool #1.

Code-Locking Memory Pools

50 Each memory pool 24 and 38-10 is protected by its own mutual exclusion (mutex) lock. Like the data structures associated with each memory pool, mutex locks are stored in a static array. Each mutex lock causes no delay in a thread that is allocating, deallocating or reallocating one or more memory blocks from its own memory pool. However, when a thread not associated with a particular memory pool attempts to access a memory block already allocated by the thread associated with that pool, the mutex lock prevents the non-associated thread from deallocating or reallocating a memory block from that pool. Thus, the lock protects the memory blocks from being updated or used by more than one thread at a time, thereby preventing the corruption of data in the memory block. Such attempts to allocate, deallocate or reallocate memory blocks from a memory pool not associated with a thread are relatively infrequent. This 55 feature provides a substantial improvement in the speed performance of the system over conventional schemes in which a single mutex lock is used for all memory management routines. Using a single mutex lock can significantly

degrade the performance of a multithreaded application. With this approach, once a thread makes a memory management function call (i.e., malloc, free, or realloc) all other threads must wait until the thread has finished performing its memory management function. By providing separate mutex locks for each memory pool, each thread can, in parallel, allocate and free its own memory within its own memory pool while preventing access from non-associated threads.

5 As memory blocks are repeatedly allocated, freed and reallocated by a thread, the memory pool may become fragmented into smaller and smaller blocks. Coalescing or merging of freed memory blocks which are contiguous is periodically performed to form larger memory blocks which can be reallocated by the thread. However, before a memory block can be coalesced with an adjacent memory block, the described embodiment first determines whether the blocks are from the same pool. If not, the blocks are not coalesced, thus avoiding the possibility of data corruption.

10

Merge Malloc Pools

15 The extent to which the individual threads use memory management may vary significantly. For example, referring again to Fig. 2, threads 31-33 may complete their tasks prior to the completion of the tasks performed by main thread 30. In such situations, the main thread may call an optional interface function which transfers the memory allocated by threads 31-33 to the main thread 30. In other words, the function may be called by the main thread at the end of the multithreaded portion to consolidate to the main thread the memory previously allocated by the other threads. The routine used in this embodiment has the following prototype:

20 `void merge_malloc_pools (void);`

25 The use of this function may not be needed in applications in which the multiple threads perform significant memory management throughout the application.

30 Referring to Fig. 5, a simplistic representation of an application is shown running within main thread 30 and user thread 31. It is assumed here that memory pools 24 and 38 (Fig. 2) which are associated with threads 30 and 31, respectively, have already been established. With respect to main thread 30, a first `malloc` routine call 50 is made requesting a block of memory having SIZE#1 bytes. Later in the application, a first `free` routine call 52 is made to return a block of memory identified by pointer OLD. At this time, coalescing is generally performed to combine the returned block of memory with an adjacent block, so long as they are both from the same memory pool. Still later in the thread, a second `malloc` routine call 54 is made requesting a block of memory having SIZE#2 bytes. A `realloc` call 56 requesting that a block of memory identified by pointer OLD be resized to SIZE#3 bytes follows. Thread 31 is shown executing procedures concurrently with thread 30. For example, a first `malloc` routine call 60 is made followed sometime later by a first `free` routine call 62. Finally, in this example, after completion of the multithreaded portion of the application, a `merge_malloc_pools` routine 64 is called to consolidate memory blocks allocated by thread 31 to the main thread 30.

35 Attached as an Appendix is source code software for one implementation of a method of converting an existing `malloc` package to a multithreaded version of a `malloc` package. The source code represents a version of the program based on the set of memory allocation routines described in The C programming language, B.W. Kernighan and D.M. Richie, Prentice Hall (1988).

40 Other embodiments are within the following claims.

45

50

55

APPENDIX

```

/* A multithreaded (MT-safe) version of malloc and friends.
   Based on the malloc package from
   the Kernighan & Ritchie ANSI C book (page 185), with modifications.
5   By Greg Nakhimovsky
   Sun Microsystems, Inc.
   Market Development Engineering
   January 1996

10  Changes from the original K&R version:
    • All malloc routines are made MT-safe.
    • realloc() is added.
    • A separate free pool is created for each thread up to NUM_POOLS.
      NUM_POOLS is currently set to 4 but can be adjusted.
    • The pool number is stored in the same header.
    • Increased header size to 16 bytes to make room for pool number.
15  • Each pool is protected by its own mutex lock.
    • free() returns the freed block to the pool the block was malloc'ed from.
    • realloc() modifies the block in the original pool.
    • Coalescing (merging) is only done within the same pool.
    • A new routine is added for external interface: merge_malloc_pools().
      If called by the application from the main thread after the threaded
20  section is over, it transfers all memory blocks from the additional pools
      back to the main pool. This call will eliminate a "memory leak", in a
      sense that the main thread can reuse memory used by other threads.
    • To reduce additional fragmentation, the default block size for sbrk()
      is increased from 8K to 64K.

25  When multiple threads allocate and deallocate their own memory, they don't
      wait on their own locks. When one thread tries to free or realloc a memory
      block allocated by another thread, the lock protects the free list from being
      updated or used by more than one thread at a time. The lock is also used when
      there are more than NUM_POOLS threads active at the same time.
  */

30  #include <stdio.h>
# include <thread.h>
# include <synch.h>

35  /* number of pools for different threads */
#define NUM_POOLS 4

40  /* minimum number of 16-byte units to request from system = 64K in this case */
#define NALLOC 4096

#define MAGIC 0x5555 /* to check integrity of pointers to free, realloc */
#ifndef _REENTRANT /* will not compile without _REENTRANT defined */
45  static mutex_t _pool_locks[NUM_POOLS];
#endif /* _REENTRANT */

50  #define GET_THREAD_INDEX(self) ((self) == 1) ? 0 : 1 + ((self)-4)*(NUM_POOLS-1)

55  #ifndef NULL
#define NULL (0)
#endif

typedef double Align;
/* increased the header size to 16 bytes - GW */
union header {
    struct {
        union header *ptr; /* next block if on the free list */
        unsigned size; /* size of this block */
        unsigned pool; /* pool number */
        unsigned magic; /* for checking - using 4 extra bytes */
    } s;
    Align x[2]; /* need 16 bytes because of the pool number */
};

```

```

typedef union header Header;
static Header base[NUM_POOLS]; /* empty lists to get started */

5   /* starts of free lists */
static Header *freep[NUM_POOLS] = {NULL, NULL, NULL, NULL};

static void *malloc_locked(unsigned nbytes, unsigned thread_index);
static void free_locked(void *ap, unsigned thread_index);
static Header *morecore(unsigned nunits, unsigned thread_index);

10  void *malloc(unsigned nbytes)
{
    void *ret;
    unsigned self, thread_index;

    self = thr_self();
    thread_index = GET_THREAD_INDEX(self);

    mutex_lock(&_pool_locks[thread_index]);
    ret = malloc_locked(nbytes, thread_index);
    mutex_unlock(&_pool_locks[thread_index]);
}

15  return ret;
}

static void *malloc_locked(unsigned nbytes, unsigned thread_index)
{
    Header *p, *prevp;
    unsigned nunits;

    nunits = (nbytes+sizeof(Header)-1)/sizeof(Header) + 1;
    if ((prevp = freep[thread_index]) == NULL) { /* no free list yet */
30      base[thread_index].s.ptr = freep[thread_index] =
        prevp = &base[thread_index];
      base[thread_index].s.size = 0;
      base[thread_index].s.pool = thread_index;
      base[thread_index].s.magic = MAGIC;
    }
    for (p = prevp->s.ptr; ; prevp = p, p = p->s.ptr) {
        if (p->s.size >= nunits) { /* big enough */
            if (p->s.size == nunits) /* exactly */
                prevp->s.ptr = p->s.ptr;
            else {
40              p->s.size -= nunits;
              p += p->s.size;
              p->s.size = nunits;
              p->s.pool = thread_index;
              p->s.magic = MAGIC;
            }
            freep[thread_index] = prevp;
            return (void*)(p+1);
        }
45      if (p == freep[thread_index]) /* wrapped around free list */
        if ((p = morecore(nunits, thread_index)) == NULL)
            return NULL; /* none left */
    }
50  }

static Header *morecore(unsigned nu, unsigned thread_index)
{
    char *cp, *sbrk(int);
    Header *up;

55  if (nu < NALLOC)

```

```

        nu = NALLOC;
        cp = sbrk(nu + sizeof(Header)); /* sbrk() assumed locked - GN */
        if (cp == (char *) -1) /* no space at all */
            return NULL;
5       up = (Header *) cp;
        up->s.size = nu;
        up->s.pool = thread_index;
        up->s.magic = MAGIC;
        free_locked((void *) (up+1), thread_index);
        return freep[thread_index];
10      }

void free(void *ap)
{
    Header *bp;
    unsigned thread_index;
15    int i;

    if(ap == NULL)
        return;
    /* free the block of the thread which allocated it */
    bp = (Header *) ap - 1; /* point to block header */
20    if (bp->s.magic != MAGIC) {
        printf("bogus pointer tx passed to free()\n", ap);
        abort();
    }
    thread_index = bp->s.pool;

25    mutex_lock(&pool_locks[thread_index]);
    free_locked(ap, thread_index);
    mutex_unlock(&pool_locks[thread_index]);
}

static void free_locked(void *ap, unsigned thread_index)
30 {
    Header *bp, *p;
    int i;

    bp = (Header *) ap - 1; /* point to block header */

35    for (p = freep[thread_index]; !(bp > p && bp < p->s.ptr); p = p->s.ptr
        if (p == p->s.ptr && (bp > p || bp < p->s.ptr))
            break; /* freed block at start or end of arena */

40    if (bp + bp->s.size == p->s.ptr &&
        bp->s.pool == p->s.pool) { /* join to upper nbr */
        bp->s.size += p->s.ptr->s.size;
        bp->s.ptr = p->s.ptr->s.ptr;
    } else
        bp->s.ptr = p->s.ptr;
    if (p + p->s.size == bp &&
45    p->s.pool == bp->s.pool) { /* join to lower nbr */
        p->s.size += bp->s.size;
        p->s.ptr = bp->s.ptr;
    } else
        p->s.ptr = bp;
50    freep[thread_index] = p;
}

void *realloc(void *old, unsigned nbytes)
/* Added by GN, since realloc() is not given in the K&R book */
{
55    void *new;
    Header *bp;
    unsigned self, thread_index, ncopy;

```

```

    if(old == NULL)
        return malloc(nbytes);

5      self = thr_self();
      thread_index = GET_THREAD_INDEX(self);

      bp = (Header *)old - 1; /* point to block header */
      if (bp->s.magic != MAGIC) {
          printf("bogus pointer tx passed to realloc\n", old);
          abort();
      }
      if (bp->s.pool != thread_index)
          thread_index = bp->s.pool;
      mutex_lock(&_pool_locks[thread_index]);

15     /* for simplicity,
           always allocate a new block, copy and free the old one */
      if((new = malloc_locked(nbytes, thread_index)) == NULL) {
          mutex_unlock(&_pool_locks[thread_index]);
          return NULL;
      }

20     if(nbytes > 0) {
          ncopy = sizeof(Header) + (bp->s.size - 1);
          if(ncopy > nbytes)
              ncopy = nbytes;
          memcpy(new, old, ncopy);
      }
      free_locked(old, thread_index);
      mutex_unlock(&_pool_locks[thread_index]);
      return new;
  }

30     /* New externally called function merging all additional pools into
           the main thread's pool. Should only be called from the main thread.
           Assumes that only the main thread is active.
  */
35     void merge_malloc_pools(void)
  {
      int i;
      Header *p, *prevp;

      /* skip the main thread's pool (0) */
      for (i=1; i<NUM_POOLS; i++) {
          prevp = freep[i];
          if(prevp != NULL) {
              for (p = prevp->s.ptr; ; prevp = p, p = p->s.ptr) {
                  if(p->s.size > 0) {
                      p->s.pool = 0;
                      /* no need to lock - main thread only */
45                  free_locked((void *)(p+1), 0);
                  }
                  if (p == freep[i]) /* end of list */
                      break;
              }
              freep[i] = NULL;
          }
      }
  }

55
}

```

Claims

1. A method of allocating memory in a multithreaded computing environment in which a plurality of threads run in parallel within a process, each thread having access to a system memory, the method comprising:
 - 5 establishing a plurality of memory pools in the system memory;
mapping each thread to one of said plurality of memory pools; and
for each thread, dynamically allocating user memory blocks from the associated memory pool.
 - 10 2. The method of claim 1 wherein the step of dynamically allocating memory blocks includes designating the number of bytes in the block desired to be allocated.
 - 15 3. The method of claim 1 further comprising the step of preventing simultaneous access to a memory pool by different threads.
 4. The method of claim 1 further comprising the step of establishing a memory pool for each thread comprises allocating a memory buffer of a preselected size.
 - 20 5. The method of claim 4 further comprising the step of dynamically increasing the size of the memory pool by allocating additional memory from the system memory in increments equal to the preselected size of the buffer memory.
 6. The method of claim 4 wherein the preselected size of the buffer is 64 Kbytes.
 - 25 7. The method of claim 1 further comprising the step of one of the threads transferring memory from the memory pool of another of the threads to its memory pool.
 8. The method of claim 1 wherein each memory pool is defined by an array of static variables identified by a thread index associated with a memory pool.
 - 30 9. The method of claim 8 wherein each memory pool is maintained as a data structure of memory blocks.
 10. The method of claim 9 wherein each memory block comprises a header including the size of the memory block and the memory pool index to which it is associated.
 - 35 11. The method of claim 10 wherein the size of the block and the memory pool index are each four bytes.
 12. The method of claim 1 further comprising the step of each thread deallocating a memory block to the memory pool.
 13. The method of claim 12 wherein the thread originally allocating the memory block deallocates it to its associated memory pool.
 - 40 14. The method of claim 12 further comprising the step of coalescing deallocated memory blocks and preventing coalescing of memory blocks from different pools.
 15. The method of claim 1 further comprising the step of changing the size of an allocated block of memory allocated by a memory pool.
 - 45 16. A computer-readable medium storing a computer program which is executable on a computer including a memory, the computer program for allocating memory in a multithreaded computing environment in which a plurality of threads run in parallel within a process, each thread having access to a system memory, the stored program comprising:
 - 50 computer-readable instructions which establish a plurality of memory pools in the system memory;
computer-readable instructions which map each thread to one of said plurality of memory pools; and
computer-readable instructions which, for each thread, dynamically allocate user memory blocks from the associated memory pool.
 17. The computer-readable medium of claim 16 wherein the stored program further comprises computer instructions

which prevent simultaneous access to a memory pool by different threads.

18. The computer-readable medium of claim 16 wherein the stored program further comprises computer instructions which causes one of the threads to transfer memory from the memory pool of another of the threads to its memory pool.

19. The computer-readable medium of claim 16 wherein each memory pool is defined by an array of static variables identified by a thread index associated with a memory pool.

10 20. The computer-readable medium of claim 16 wherein the stored program further comprises computer instructions which coalesces deallocated memory blocks and prevents coalescing of memory blocks from different pools.

21. A system comprising:

15 memory, a portion of said memory storing a computer program for allocating memory in a multithreaded computing environment in which a plurality of threads run in parallel within a process, each thread having access to the memory, the stored program comprising:

20 computer-readable instructions which establish a plurality of memory pools in the memory; computer-readable instructions which map each thread to one of said plurality of memory pools; and computer-readable instructions which, for each thread, dynamically allocate user memory blocks from the associated memory pool;

25 a processor to execute said computer-readable instructions; and a bus connecting the memory to the processor.

30

35

40

45

50

55

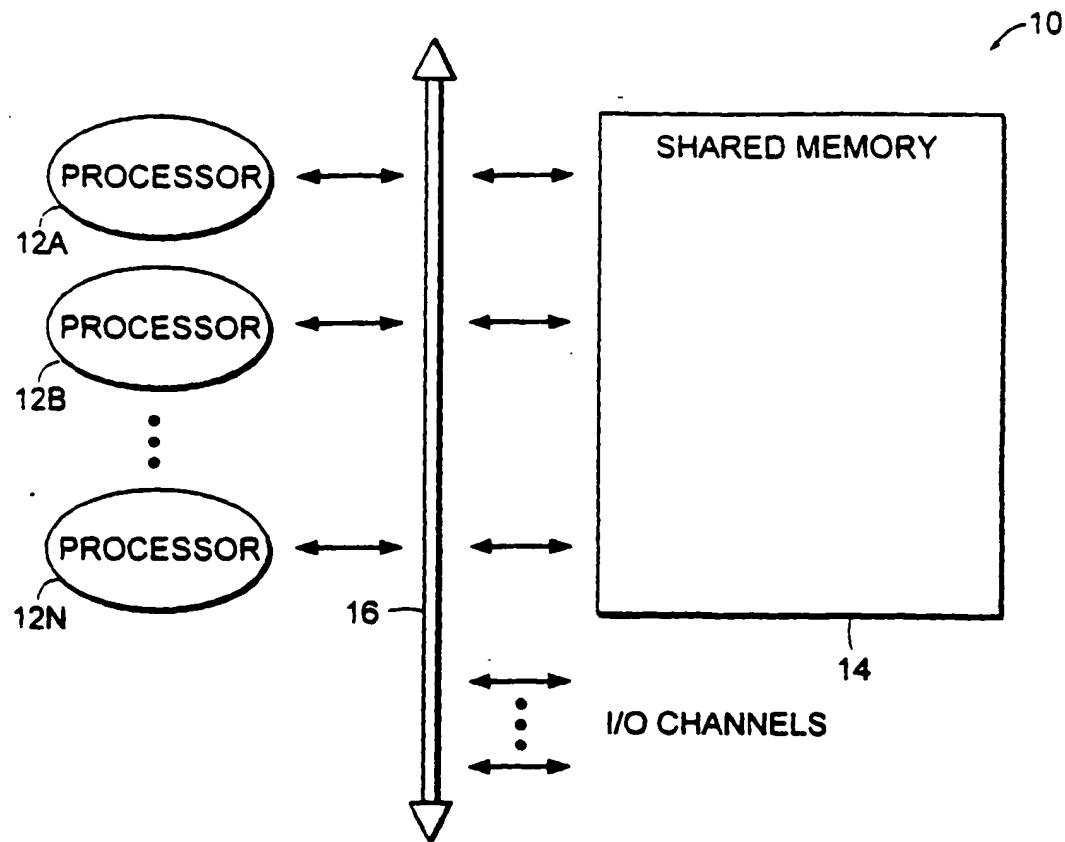


FIG. 1

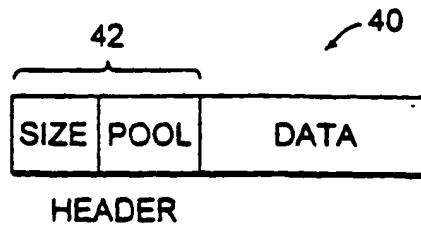


FIG. 3

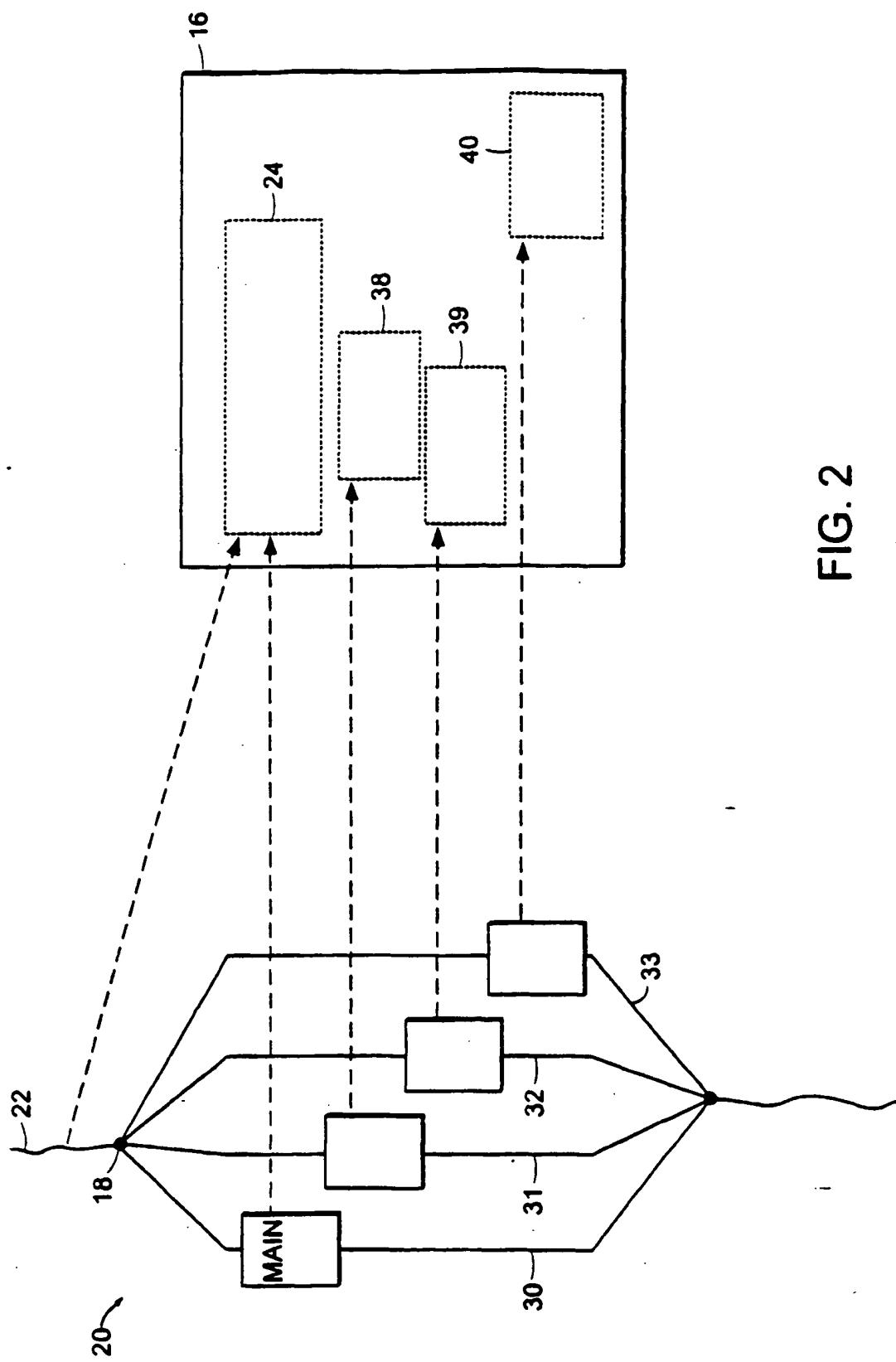


FIG. 2

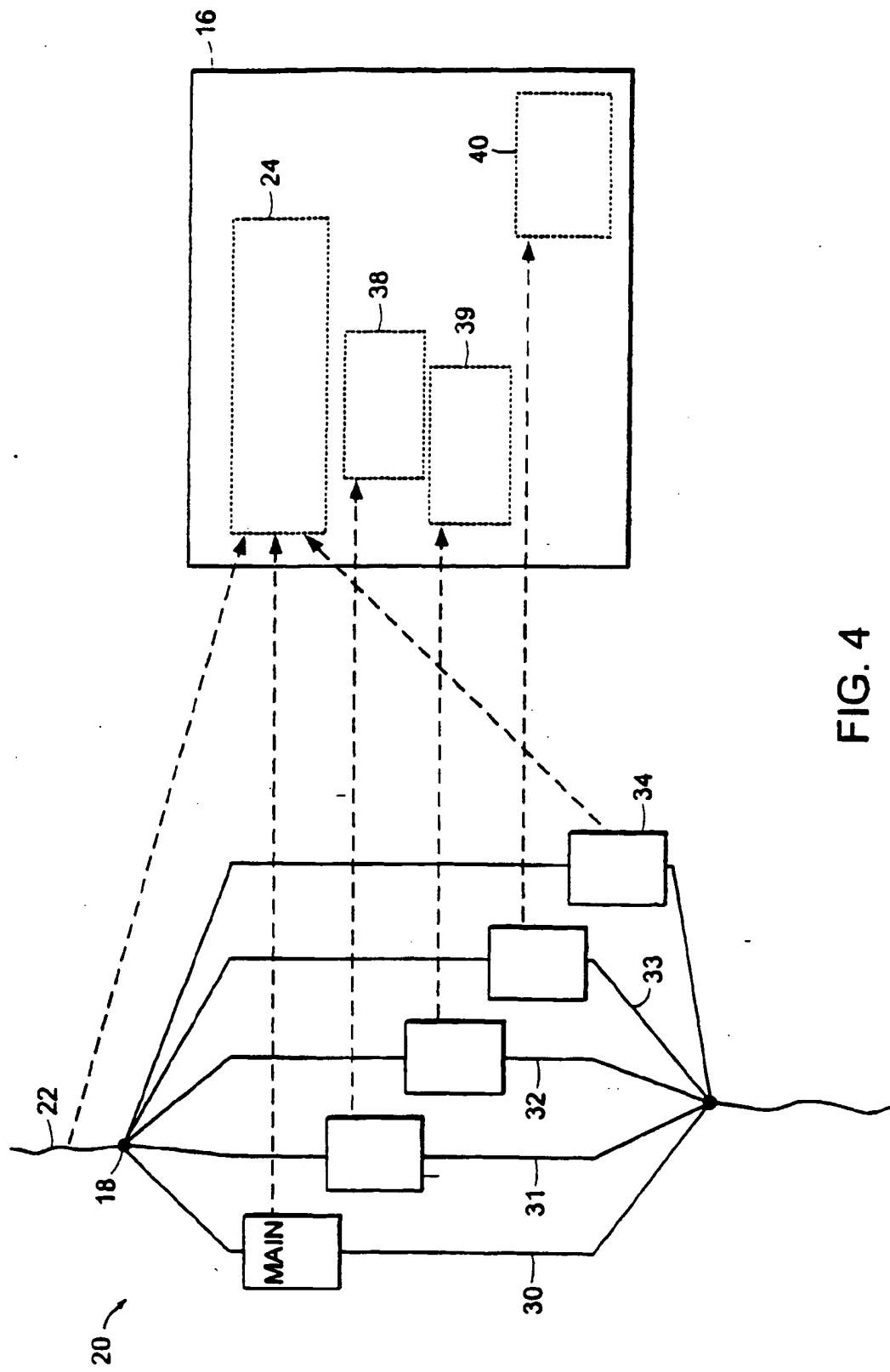


FIG. 4

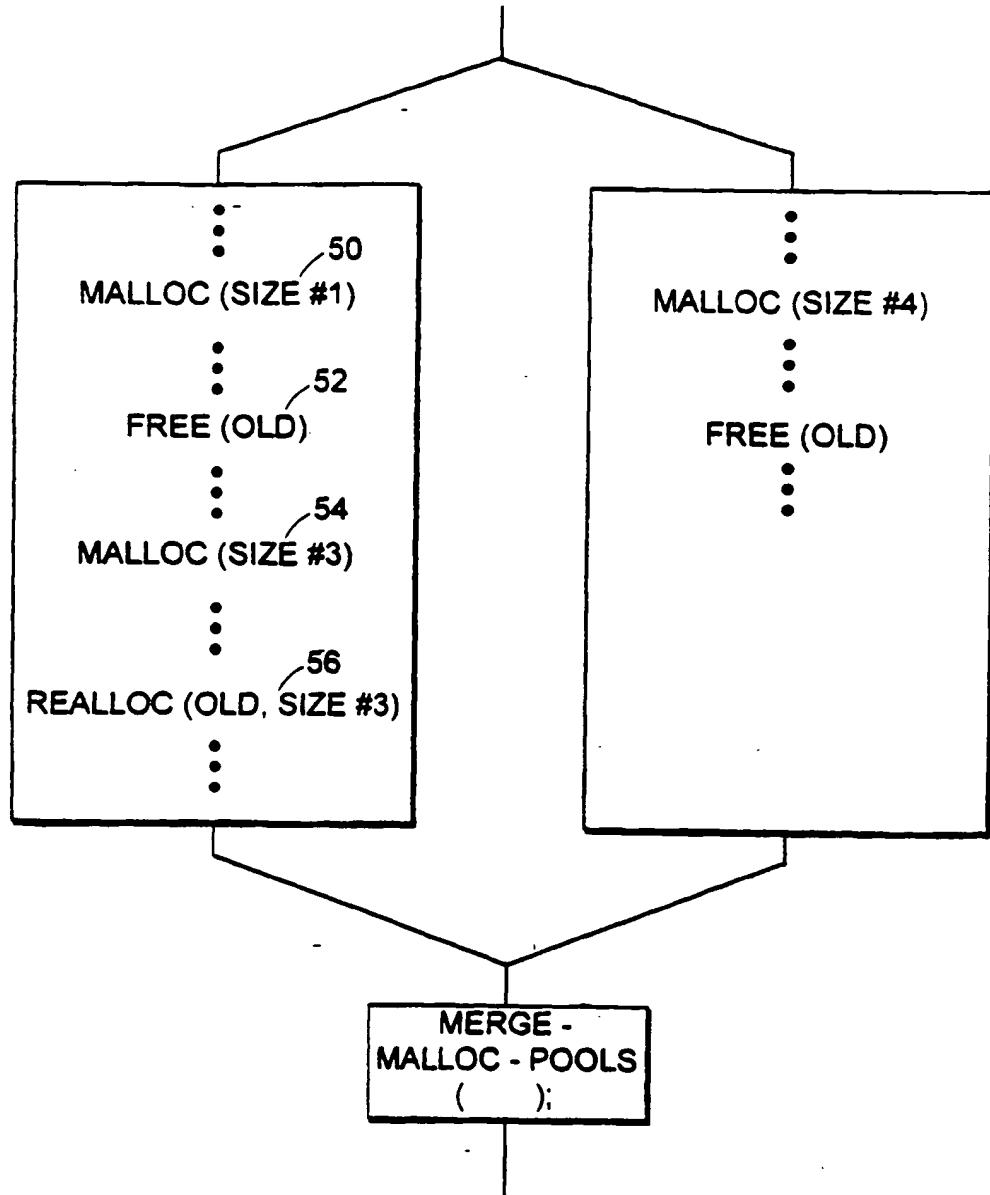


FIG. 5

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.